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APPLICATION FOR LETTERS PATENT

**Rapid Application Security Threat Analysis**

Inventors:

Michael Howard  
Loren Kohnfelder  
Praerit Garg

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1 **TECHNICAL FIELD**

2 The following subject matter pertains to designing secure computer  
3 program applications.  
4

5 **BACKGROUND**

6 It is no secret that Internet and corporate intranet usage has exploded  
7 over the past few years and continues to grow rapidly. People have become  
8 very comfortable with many services offered on the World Wide Web (or  
9 simply "Web") such as electronic mail, online shopping, gathering news and  
10 information, listening to music, viewing video clips, looking for jobs, and so  
11 forth. To keep pace with the growing demand for Internet-based services, there  
12 has been tremendous growth in Web based computer systems/applications  
13 hosting Websites, providing backend services for those sites, and storing data  
14 associated with those sites.

15 As Internet and intranet usage continues to grow, so does the number  
16 and severity of security-related attacks on applications such as those that  
17 operate over the Internet and intranets. Public interest in security centered on  
18 privacy as it relates to financial transactions and identity protection, and the  
19 rapid spread of computer viruses compromising data integrity, has increased  
20 pressure to make these applications and operating systems for executing these  
21 applications more secure.

22 Today, application developers typically see the development of any  
23 security aspects of an application as an afterthought. Perhaps this is because  
24 the networking industries have grown so fast that everyone's focus has been  
25 simply to keep up with the exploding demand by building additional  
applications. In this environment, security is generally considered to be  
technology that has no business value, but rather, considered to be technology

1 that enables business processes. (E.g., adding open database connectivity  
2 (ODBC) to a computer program in itself adds no value to a business, but  
3 applications using ODBC do provide business value). Thus, developers often  
4 consider adding security features to computer program applications as  
5 unnecessary work that offers no significant financial return. Consequently, not  
6 much thought has gone into how to model security into distributed applications.

7 At best, security features are generally retrofitted into an application  
8 after all of the business value functionality has been completed or at least  
9 substantially completed. The downside with such traditional procedures to  
10 retrofit application security is that such solutions typically result in ad-hoc  
11 security solutions that are not only difficult to integrate into an application's  
12 framework, but that also may not be adequately integrated into the framework  
13 to mitigate substantially all of the real security threats to which the application  
14 may be exposed.

15 Accordingly, the following subject matter addresses these and other  
16 problems of conventional techniques to provide security to computer program  
17 applications only after the fundamental design and implementation of the  
18 computer program application has already taken place.  
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## **SUMMARY**

The following subject matter provides for modeling an application's potential security threats at a logical component level early in the design phase of the application. Specifically, in a computer system, multiple model components are defined to represent respective logical elements of the application. Each model component includes a corresponding set of security threats that could potentially be of import not only to the component but also to the application as a whole in its physical implementation. The model components are interconnected to form a logical model of the application. One or more potential security threats are then analyzed in terms of the model components in the logical model.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 illustrates model components that form the logical building blocks for modeling security into an application, along with the associated schema.

Fig. 2 illustrates an online retailer application for a distributed application that is modeled in terms of its logical components.

Fig. 3 shows security threat context menu items that correspond to a selected a front-end component of a logically modeled application.

Fig. 4 shows security analysis context menu items corresponding to a selected a front-end component of a logically modeled application.

Fig. 5 shows security threat context menu items corresponding to a selected a TCP/IP port of a logically modeled application.

Fig. 6 shows an exemplary computer system that implements security threat-modeling and analysis software used to design security into an application.

1 Fig. 7 shows a method for rapidly modeling an application with  
2 integrated threat analysis information.

3 Fig. 8 illustrates an exemplary computing environment on which an  
4 exemplary rapid security threat-modeling computer of Fig. 6 may be  
5 implemented.

## 6 **DETAILED DESCRIPTION**

7 Designing an application before actually implementing it is an extremely  
8 important and well-accepted engineering process. Application design typically  
9 involves modeling each structural and software component of an application in  
10 an abstract manner, determining each component's corresponding function(s),  
11 identifying the interfaces between the components, and indicating the data  
12 flows between the components over the interfaces.

13 As discussed above, traditional application modeling procedures do not  
14 typically incorporate security into an application in the application's early  
15 design phase. In contrast to such traditional procedures, the following  
16 described subject matter provides for specifying an application's security  
17 threats early in the application's design. This provides a significant advantage  
18 over traditional procedures that attempt to retrofit security threat mitigation into  
19 existing applications. It is far simpler to add a security component to an  
20 application in the early stages of its development than it is to add security to an  
21 application that was designed without security in mind.

## 22 **Security Threats**

23 Security is typically considered to include the following categories:  
24 authentication, authorization, auditing, privacy, integrity, availability, and non-  
25 repudiation. Each of these categories should be taken into consideration in the  
early stages of designing the configuration and operation of an application.

1 Authentication is a process by which an entity, also called a principal, verifies  
2 that another entity is who or what it claims to be. The principal can be a user,  
3 executable code, or a computer that requires evidence in the form of credentials  
4 to authenticate the entity. Standard authentication technologies include, for  
5 instance, Kerberos, WINDOWS NTLM ®, Basic, and Digest. Each technology  
6 has its particular strengths and weaknesses.

7 For example, Basic authentication is insecure because it does not  
8 encrypt passwords and tools to "sniff" passwords off of the network are in  
9 common use by systems crackers. Thus, applications that send an unencrypted  
10 password over the network are extremely vulnerable. In contrast, Kerberos  
11 authentication is designed to provide strong authentication for client/server  
12 applications by using secret-key cryptography.

13 Once a principal's identity is authenticated, the principal will typically  
14 want to access resources such as printers, files, registry keys, etc. The  
15 authorization security category deals with the availability of the principal's  
16 access to such resources. Access is determined by performing an access check  
17 to see if the authenticated entity has access to the resource being requested.  
18 Examples of standard authorization mechanisms include: WINDOWS 2000  
19 access control lists (ACLs), WINDOWS 2000 privileges, permissions (e.g.,  
20 create, read, write, etc.), and administrator defined role checking in a Common  
21 Object Model (COM+) component.

22 The auditing security category is directed to the collection of  
23 information about successful and failed access to objects, uses of privileges,  
24 and other security actions. This information is generally collected for later  
25 analysis. Standard examples of audit logs include, the WINDOWS 2000  
Security Event Log, the SQL Server Log, and the Internet Information  
Services 5 Log.

1 Privacy, or confidentiality is directed to hiding information from other  
2 entities and is typically performed using encryption. Standard examples of  
3 privacy technology include: Secure Sockets Layer (SSL) or Transport Layer  
4 Security (TSL), and Internet Protocol security (IPSec).

5 Integrity refers to the ability to protect data from being deleted or  
6 changed either maliciously or by accident. Examples of standard integrity  
7 technology include SSL/TLS (both use Message Authentication Code (MAC)  
8 algorithms to verify that data is not tampered with) and IPSec (provides low  
9 level checking of IP packets).

10 The availability security category is directed to ensuring that a  
11 legitimate entity is not denied access to a requested resource. Examples of  
12 standard availability technology include: load balancing hardware and software  
13 to spread loads across resources, and failover hardware and software to provide  
14 redundancy to an application.

15 The non-repudiation security category is directed to providing proof that  
16 a particular action occurred so as to prevent a principal from denying the  
17 occurrence of the particular action. A complete non-repudiation plan calls for  
18 aspects of authentication, authorization, auditing and data integrity.

19 Proper application security involves not only consideration of the  
20 security categories discussed above, but also requires that the identified  
21 security threats (e.g., privacy, data integrity, etc.) be analyzed from the  
22 perspective of the particular environment in which the application will operate  
23 such as on a corporate intranet or on the Web. Once the particular environment  
24 is determined, the application developer decides whether to counter the threats  
25 with specific technology, and/or policy and procedure.

### **A Modeling System To Specify an Application's Security Threats**

1 To identify an application's security threats the modeling system defines  
2 a number of model components that form the building blocks of a logical  
3 model of an application: a module, a port, and a wire. It also defines a set of  
4 model extensions including, but not limited to: a store, an event source, an  
5 event sink, and an event path. In an application design tool, the components  
6 are represented pictorially on a user interface (UI) as graphical elements or  
7 symbols that may be arranged and interconnected to create logical models of  
8 distributed applications such as a Website and/or intranet based application.  
9 The graphical elements have functional operations that are dictated by the  
10 graphical elements that are specified.

11 Fig. 1 illustrates a set of model components 100 that a module, as  
12 represented by modules 102(A) through 102(C), a store 104, ports 106,  
13 wires 108, event sources 110, event sinks 112, and event paths 114. The  
14 components 100 are arranged in no particular manner other than to foster  
15 discussion of their individual traits.

16 A module 102 represents a basic unit of functionality for the application  
17 and is depicted as a block. It is a logical entity that represents some portion of  
18 an application, but has no physical manifestation. The module often  
19 corresponds to a software program that handles a logical set of tasks for the  
20 application. For instance, one module might represent a front-end for a  
21 Website, another module might represent a login database, another module  
22 might represent an electronic mail program, and another module might  
23 represent a security technology (e.g., Kerberos authentication, SSL/TLS, IPSec,  
24 etc.).

25 Each module 102 is a container of behavior. A simple module is atomic  
and has associated a unique identifier. Modules can be nested into a hierarchy



1 of modules to form more complex behaviors. In a module hierarchy, the leaf  
2 modules are simple modules, and the non-leaf modules are compound modules.

3 Each module 102 defines a unit of scaling. While one module logically  
4 represents a functional operation of the application, the module may translate to  
5 any number of computers when actually implemented. When converted to a  
6 physical implementation, “instances” are created from the modules. The  
7 module instances are assigned a unique identifier and maintain ancestral data  
8 regarding which module created them. The instances of modules correspond to  
9 software programs that run on individual computer nodes.

10 A store 104 is the most basic unit of storage and is depicted graphically  
11 as a disk storage icon. It represents a logical partitioning, which may be  
12 implemented by any number of physical disks or other storage media that is  
13 coupled to a computer such as volatile memory (e.g., RAM), and non-volatile  
14 memory (e.g., ROM, Flash memory, a hard disk, optical disk, Redundant Array  
15 of Independent Disk (RAID) memory, etc.).

16 A port 106 is a service access point for a module 102 or store 104 and is  
17 depicted as spherical knobs projecting from the module or store. All service-  
18 related communications into and out of the module go through the port 106.  
19 Each port 106 has a “type”, which is a set of attributes describing format,  
20 semantics, protocol, and so forth. At runtime, the port represents a set of  
21 physical ports associated with the instantiated modules.

22 A wire 108 is the logical binding that defines a communication route  
23 between two ports 106 and is depicted as a bold line. Each wire 108 can be  
24 type-checked (i.e., protocols, roles) and defines protocol configuration  
25 constraints (e.g., HTTP requires TCP, TCP requires IP, etc.).

Event sources 110 and event sinks 112 are used for discrete semantic  
messaging between modules and stores. An event source 110 is pictorially

1 shown as a triangle pointing away from the module or store, while an event  
2 sink 112 is depicted as a triangle pointing toward the module or store. An  
3 event path 114 is a logical connection between sources and sinks, and carries  
4 event/interrupt messages used to inform modules/stores. It is depicted as a  
5 dashed line.

6 Fig. 1 also illustrates aspects of the underlying the graphical elements as  
7 exemplary data structures associated with the model components.  
8 Module 102(A) has an associated structure 120 that contains various  
9 attributes/characteristics for the module, such as functionality, processing  
10 requirements, software, potential security threat categories (e.g., authentication,  
11 authorization, integrity, etc.), and so forth. Thus, a module for a database  
12 server function might have characteristics pertaining to the kind of database  
13 (e.g., relational), the data structure (e.g., tables, relationships), software (e.g.,  
14 SQL), software version, security threat categories of authentication,  
15 authorization, data integrity, privacy, and so forth. Modules 102(B)  
16 and 102(C) have similar structures (not shown).

17 The store 104 has a corresponding structure 122 that defines the  
18 requirements for storage. The store structure 122 might include, for example,  
19 the kind of storage (e.g., disk), the storage format, security threats (e.g.,  
20 privacy), and so on. Each port 106 has a structure, as represented by  
21 structure 124, which dictates the port's type, security threats (e.g., data  
22 integrity, authentication, authorization, etc.). Each wire 108 also is also  
23 associated with a structure, such as structure 126, which outlines the protocols  
24 implemented by the connection, (e.g., TCP/IP, SOAP, etc.), module endpoints  
25 indications (e.g., port numbers), and security threat categories (e.g., privacy  
and data integrity).

1 Similar structures may also be provided for event sources, event sinks,  
2 and paths.

3 The particular security threats associated with any one model component  
4 will depend the function of that component. For example, the security threats  
5 that apply to a data store 104 or a wire 108 may include only a subset of the  
6 threats that apply to a module 102 that coordinates money transfers between  
7 entities. Thus, a data store or wire may indicate, for example, that there are  
8 possible threats to data privacy and a threat to data integrity. Whereas, a  
9 module may indicate, for example, a threat list including authentication,  
10 authorization, integrity, non-repudiation, privacy, and so forth.

11 An understanding of business/product requirements and knowledge of  
12 the data that is needed to support those business requirements can only  
13 determine the particular threat(s) and threat mitigating technologies that will be  
14 meaningful to any one particular application. However, an underlying  
15 modeling schema (i.e., the component database 518 of Fig. 5) assists  
16 application developers in specifying the particular threats that will be  
17 meaningful to any one component and the system as a whole in view of those  
18 requirements.

19 Using the model components, an application developer can logically  
20 configure applications prior to implementing them. The developer drafts a  
21 model to select and interconnect the model components such as those shown in  
22 Fig. 1. As each component is added to the model, the modeling software (i.e.,  
23 the modeling system 612 of Fig. 6) analyzes the component with respect to its  
24 interconnections to determine the security threats that apply to the component  
25 and its interconnections. The developer selects (e.g., from a drop down menu  
displayed in response to a mouse click on a particular component) those threats  
that are significant to each model component. (The selected security threats

1 may subsequently be converted into a physical program code that implements a  
2 substantially optimal solution to mitigate the determined threat(s) for the  
3 component, and/or interconnection). Thus, the application developer after  
4 identifying potential security threats decides whether to counter the identified  
5 threats.

6 By selecting those threats that are significant to each model component,  
7 the potential threats to other components in the system may change. For  
8 example, specifying, or addressing an authenticated identity requirement at one  
9 component, or node might (or might not) address a potential threat at a  
10 different node elsewhere in the system. To address the dynamic flow and/or  
11 ebb of threats during the application design process, the modeling software  
12 provides tools for a developer to analyze selected threats (from a component-  
13 centric viewpoint or from a system level viewpoint) with respect to each other  
14 potential and/or countered (or addressed) threat in the system. These tools are  
15 described in greater detail below in reference to Figs. 3-5.

16 The modeling system allows application developers to focus not only on  
17 designing software for a specific functional task (e.g., front-end, login  
18 database, email program, etc.), but also allows the developer, while still in the  
19 early design of the application, to address any security threats to the application  
20 in terms of the components that comprise the logical model of the application.

### 21 **An Exemplary Logical Model of an Application**

22  
23 Fig. 2 shows a simplified application 200 for an online retailer. The  
24 application 200 includes a web browser 202, a front-end module 204, a catalog  
25 module 206, an order-processing module 208, and a fulfillment module 210.  
The application 200 also includes a customer database 212 and a fault-tolerant  
SQL database module 214.

1 Clients who wish to shop with the online retailer use the web  
2 browser 202 to communicate with the front-end module 204 across the  
3 network 216 (e.g., the Internet, an intranet, etc.). The web browser has a  
4 port 218 that accommodates communications with the online retailer using the  
5 TCP/IP protocol over the network. The front-end module 204 handles requests  
6 from clients who wish to shop with the online retailer. The front-end  
7 module 204 has a port 220 that accommodates communications with external  
8 clients using the TCP/IP protocol over the network 216. The front-end  
9 module 204 also has an order port 222 to define a communication exchange  
10 with the order-processing module 208 and a catalog port 224 for  
11 communication flow to the catalog module 206. The ports 222 and 224 may be  
12 configured according to any of a variety of types, which support any one of a  
13 number of protocols including SOAP, TCP, or UDP. An event sink 226 is also  
14 provided to receive a "new product" message from the catalog module 206  
15 when a new product has been added to the catalog.

16 The catalog module 206 provides catalog information that may be  
17 served by the front-end to the requesting clients. The catalog module 206 has a  
18 front-end port 230 connected via a wire 232 to the catalog port 224 of the front-  
19 end module 204. The front-end port 224 has a type that matches the catalog  
20 port 230. The catalog module 206 also has an event source 234 for  
21 communicating the "new product" messages over path 236 to the event  
22 sink 226 of the front-end module 204.

23 A SQL port 238 interfaces the catalog module 206 with the SQL  
24 database module 214. The SQL port 238 has a type that utilizes the TDS  
25 protocol for the communication exchange with the external port 240 of the SQL  
database 214.

1 The order-processing module 208 has a front-end port 242 to define a  
2 communication interface with the front-end module 204 via a wire 244. The  
3 order-processing module 208 also has a fulfillment port 246 to facilitate  
4 communication with the fulfillment module 210 over wire 248 and a database  
5 port 250 to facilitate communication with the customer database 212 via  
6 wire 252.

7 An event source 254 is provided at the order-processing module 208 to  
8 pass "order complete" events to the fulfillment module 210 via path 256.  
9 These events inform the fulfillment module 210 that an order is complete and  
10 ready to be filled. A second event source 258 passes "new account" events to  
11 the customer database 212 via path 260 whenever a new customer orders a  
12 product.

13 The fulfillment module 210 has an order port 262 to provide access to  
14 the wire 248 to the order-processing module 208 and a database port 264 to  
15 interface with the customer database 212. The fulfillment module 208 also has  
16 an event sink 266 to receive the "order complete" events from the order-  
17 processing module 210.

18 The customer database 212 has an order port 270 to provide access to  
19 wire 250 and a fulfillment port 272 to facilitate communication with the  
20 fulfillment module 210 via wire 274. The customer database 212 further has  
21 an event sink 276 to receive the "new account" events from the order-  
22 processing module 208.

23 Fig. 3 shows exemplary threat analysis menu items that correspond to a  
24 model component of the order-processing application of Fig. 2. In this  
25 example, the component is the front-end module 204 of Fig. 2. However, the  
component could also have been a different component such as a different  
module, a wire, a port, and so on. Cursor 302 indicates that a developer has

1 selected the front-end module, resulting in the display of the context-sensitive  
2 menu 304, which includes a “threat” menu item and an “analysis” menu item.

3 The threat menu item allows the developer to specify (e.g., assign or  
4 counter) potential threats (e.g., threats identified in the submenu 306) to the  
5 selected module. The highlighted portion (i.e., the gray portion) of the  
6 menu 304 indicates that the “threats” menu item has been selected, causing  
7 submenu 306 to be displayed. The highlighted area of submenu 306 indicates  
8 that the entity access authentication menu item has been selected, which in turn  
9 causes the submenu 308 to be displayed. Submenu 308 allows the developer to  
10 allocate a priority to the selected threat (e.g., 0-5, where 0 is the lowest priority  
11 and 5 is the highest priority). Submenu 308 also includes a “strength” submenu  
12 item that allows for selection the a particular level of strength desired to  
13 mitigate the threat. For instance, a developer can indicate that strong  
14 authentication is desired (e.g. Kerberos), that weak authentication is desired  
15 (e.g. Basic), and so on.

16 Fig. 4 shows security threat “analysis” submenu items of menu 304 for  
17 analyzing the security threats of a logical system. Specifically, threat analysis  
18 submenu items 402 respectively provide for indicating: (a) for a selected  
19 component, each other component in the system (if any) that has a one or more  
20 of the same potential security threats as the selected component; and, (b) any  
21 other component in the system that has one or more of the same countered  
22 threats as compared to the selected component.

23 A potential threat indicates each of the threats that should be considered  
24 when designing a system that includes the model component. (A component  
25 database schema 618 of Fig. 6 defines each of the potential threats that  
correspond to a model component). A countered threat includes those threats  
of the potential threats that a developer has decided to address at a model

1 component. In this manner, a developer can analyze the flow of security  
2 throughout a system to identify how a particular threat mitigating  
3 implementation at one component might address a potential threat at another  
4 component in the system.

5 Fig. 5 shows that a developer has selected the TCP/IP port 220 of the  
6 application 200. Port 220 is used by the online ordering system 200 to receive  
7 information from a client across a network. The modeling system displays  
8 submenu 502, which identifies a potential packet integrity security threat  
9 should be considered when designing the module. Submenu 504 shows  
10 specific technologies that can be used in the physical implementation of the  
11 application to mitigate the identified security threat.

12 The modeling approach illustrated in Figs 1-5 is tremendously beneficial  
13 for a number of reasons. First, the modeling approach is beneficial because it  
14 allows developers to model, analyze, and specify security related aspects of an  
15 application's design in terms of abstract functional pieces. Second, the  
16 modeling approach is beneficial because it allows the application developer to  
17 decide whether to counter similar threats at one or more of the model  
18 components to substantially optimally integrate security into the application.

19 For instance, developers of the online retailer application 200 of Fig. 2  
20 may determine: (a) that the browser 202 module requires authentication;  
21 (b) that the port 220 for receiving outside communications for the front-  
22 end 204 unit requires a packet filtering firewall to stop certain types of packets  
23 from entering into the online retailer's domain; (c) that all of the other modules  
24 in the application require auditing technologies; (d) that the database requires a  
25 privacy based technology; and (e) that the order-processing unit and fulfillment  
units both require non-repudiation technology as well as authentication.



1       The above example is now used to illustrate use of the “analysis”  
2 submenu item of menu 304 of Fig. 5. Upon selection of order-processing  
3 unit 208 (see, Fig. 2) and subsequent selection of the “show components with  
4 same actual threats” submenu item, the fulfillment unit 210 and the  
5 browser 202 are highlighted on a display (or otherwise identified with a  
6 message or dialog box) to show that they require at least a subset of the actual  
7 threats required by the order-processing unit. (The fulfillment unit requires  
8 both non-repudiation technology and authentication. The browser requires  
9 only authentication, which is a subset of the order-processing unit’s  
10 requirements.)

### 11       Computer-Based Modeling System and Method

12       Fig. 6 shows an exemplary computer system 600 that implements  
13 security threat-modeling software used to design applications. The modeling  
14 computer may be implemented as one of the nodes in a Website, an  
15 organizational intranet, or other network such as a Local Area Network (LAN),  
16 or as a separate computer not included as one of the nodes. The modeling  
17 computer has a processor 602, volatile memory 604 (e.g., RAM), and non-  
18 volatile memory 606 (e.g., ROM, Flash, hard disk, optical, RAID memory,  
19 etc.). The modeling computer 600 runs an operating system 610 and a security  
20 threat and analysis application-modeling module 612.

21       For purposes of illustration, operating system 610 and modeling  
22 module 612 are illustrated as discrete blocks stored in the non-volatile  
23 memory 606, although it is recognized that such programs and components  
24 reside at various times in different storage components of the computer 600 and  
25 are executed by the processor 602. Generally, these software components are

1 stored in non-volatile memory 606 and from there, are loaded at least partially  
2 into the volatile main memory 604 for execution on the processor 602.

3 The modeling system 612 includes a UI 614 (e.g., a graphical UI) that  
4 presents the pictorial icons of the model components 616 (e.g., modules, ports,  
5 sources, sinks, etc.), and a component schema 618. The modeling system 612  
6 allows a developer to design security into an application by defining model  
7 components 616 such as modules, ports, and event message schemes along  
8 with corresponding integrated security threat analysis information.

9 The model component database 618 (i.e., a schema) provides class  
10 definitions for any number of generic and more specific types of model  
11 components. For instance, the schema may include a database class having  
12 attributes pertaining to: the type of database (e.g., relational); the data structure  
13 (e.g., tables, relationships); software (e.g., SQL); software version; and,  
14 security threats—both potential threats and countered threats (e.g., data  
15 integrity, privacy, authentication, authorization, availability, and so on).

16 The UI 614 presents symbols of the components 616, such as the  
17 symbols shown in Figs 1-3, and permits the developer to arrange and  
18 interconnect them. The UI 614 may even support conventional UI techniques  
19 as drag-and-drop operations. The symbols depicted on the screen represent  
20 model components defined by the underlying component database 618. Thus,  
21 a developer can select respective components from the UI that have attributes  
22 corresponding to the components function in the application. In this manner,  
23 the modeling system 600 changes an application's security threat mitigation  
24 development efforts from an ad-hoc retrofit approach to an approach that  
25 provides for architecting applications with integrated security threat analysis  
information early in the design process.

Fig. 7 shows a method for modeling an application with integrated threat analysis information. The method 700 may be implemented, for example, by the modeling module 612 of Fig. 6 executing on the modeling computer 600. In such an implementation, the method is implemented in software that, when executed on computer 600, performs the operations illustrated as blocks in Fig. 7.

At block 702, the modeling module 612 provides for the definition of the model components that form functional elements of an application. The UI 614 enables the developer to create modules, store, ports, wires, and the like, and to define their characteristics (e.g., corresponding security threats). This entry process begins to construct the logical building blocks of the application.

At block 704, the developer uses the modeling system 612 to interconnect the defined model components. By joining the various model components, the developer effectively forms a logical representation of the application.

At block 706, the developer uses the modeling system 612 to identify which, if any, of the potential security threats indicated by each respective model component are significant (i.e., threats that should be countered) to the application's design. This indication can include the assignment of a priority to each identified security threat, the identification of a particular level of threat mitigation to be implemented at the physical level, and even the specification of a specific threat mitigation technology to address the identified threat. For instance, the developer may select WINDOWS 2000 privileges technology to address an elevation of privilege threat, SSL/TLS to address a data integrity threat, and so on.

## Exemplary Computing Environment

Fig. 8 illustrates an example of a suitable computing environment 800 on which an exemplary application security threat-modeling computer 600 of Fig. 6 may be implemented. The exemplary computing environment is only one example of a suitable computing environment and is not intended to suggest any limitation as to the scope of use or functionality of the exemplary application security threat-modeling computer. Neither should the computing environment 800 be interpreted as having any dependency or requirement relating to any one or combination of components illustrated in the exemplary computing environment 800.

The exemplary application security threat-modeling computer 600 is operational with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use with an exemplary application security threat-modeling computer include, but are not limited to, personal computers, server computers, thin clients, thick clients, hand-held or laptop devices, multiprocessor systems, microprocessor-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed computing environments that include any of the above systems or devices, and the like.

An exemplary application security threat-modeling computer 600 may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. An exemplary application security threat-modeling computer may also be practiced

1 in distributed computing environments where tasks are performed by remote  
2 processing devices that are linked through a communications network. In a  
3 distributed computing environment, program modules may be located in both  
4 local and remote computer storage media including memory storage devices.

5 As shown in Fig. 8, the computing environment 800 includes a general-  
6 purpose computing device in the form of a computer 600. The components of  
7 computer 600 may include, by are not limited to, one or more processors or  
8 processing units 602, a system memory 812, and a bus 814 that couples various  
9 system components including the system memory 812 to the processor 602.

10 Bus 814 represents one or more of any of several types of bus structures,  
11 including a memory bus or memory controller, a peripheral bus, an accelerated  
12 graphics port, and a processor or local bus using any of a variety of bus  
13 architectures. By way of example, and not limitation, such architectures  
14 include Industry Standard Architecture (ISA) bus, Micro Channel Architecture  
15 (MCA) bus, Enhanced ISA (EISA) bus, Video Electronics Standards  
16 Association (VESA) local bus, and Peripheral Component Interconnects (PCI)  
17 bus also known as Mezzanine bus.

18 Computer 600 typically includes a variety of computer readable media.  
19 Such media may be any available media that is accessible by computer 600,  
20 and it includes both volatile and non-volatile media, removable and non-  
21 removable media.

22 In Fig. 8, the system memory includes computer readable media in the  
23 form of volatile memory 604, such as random access memory (RAM) 604,  
24 and/or non-volatile memory 606, such as read only memory (ROM). A basic  
25 input/output system (BIOS) 822, containing the basic routines that help to  
transfer information between elements within computer 600, such as during  
start-up, is stored in ROM 606. RAM typically contains data and/or program

1 modules that are immediately accessible to and/or presently be operated on by  
2 processor 602.

3 Computer 600 may further include other removable/non-removable,  
4 volatile/non-volatile computer storage media. By way of example only, Fig. 8  
5 illustrates a hard disk drive 824 for reading from and writing to a non-  
6 removable, non-volatile magnetic media (not shown and typically called a  
7 "hard drive"), a magnetic disk drive 826 for reading from and writing to a  
8 removable, non-volatile magnetic disk 828 (e.g., a "floppy disk"), and an  
9 optical disk drive 830 for reading from or writing to a removable, non-volatile  
10 optical disk 832 such as a CD-ROM, DVD-ROM or other optical media. The  
11 hard disk drive 824, magnetic disk drive 826, and optical disk drive 830 are  
12 each connected to bus 814 by one or more interfaces 834.

13 The drives and their associated computer-readable media provide  
14 nonvolatile storage of computer readable instructions, data structures, program  
15 modules, and other data for computer 600. Although the exemplary  
16 environment described herein employs a hard disk, a removable magnetic  
17 disk 828 and a removable optical disk 832, it should be appreciated by those  
18 skilled in the art that other types of computer readable media which can store  
19 data that is accessible by a computer, such as magnetic cassettes, flash memory  
20 cards, digital video disks, random access memories (RAMs), read only  
21 memories (ROM), and the like, may also be used in the exemplary operating  
22 environment.

23 A number of program modules may be stored on the hard disk, magnetic  
24 disk 828, optical disk 832, ROM 606, or RAM 604, including, by way of  
25 example, and not limitation, an operating system 610, one or more application  
programs 840 such as the rapid security threat analysis and application

1 modeling module 612 of Fig. 6, other program modules 842, and program  
2 data 844 (e.g., the component schema database 618).

3 Each of such operating system 610, one or more application  
4 programs 840, other program modules 842, and program data 844 (or some  
5 combination thereof) may include an implementation of an exemplary  
6 application security threat-modeling computer 600. More specifically, each  
7 may include an implementation of an application security threat-modeling  
8 computer for modeling an application's potential security threats at a logical  
9 component level early in the application's design.

10 A user may enter commands and information into computer 600 through  
11 input devices such as keyboard 846 and pointing device 848 (such as a  
12 "mouse"). Other input devices (not shown) may include a microphone,  
13 joystick, game pad, satellite dish, serial port, scanner, or the like. These and  
14 other input devices are connected to the processing unit 602 through a user  
15 input interface 850 that is coupled to bus 814, but may be connected by other  
16 interface and bus structures, such as a parallel port, game port, or a universal  
17 serial bus (USB).

18 A monitor 852 or other type of display device is also connected to  
19 bus 814 via an interface, such as a video adapter 854. In addition to the  
20 monitor, personal computers typically include other peripheral output devices  
21 (not shown), such as speakers and printers, which may be connected through  
22 output peripheral interface 855.

23 Computer 600 may operate in a networked environment using logical  
24 connections to one or more remote computers, such as a remote  
25 server/computer 862. Remote computer 862 may include many or all of the  
elements and features described herein relative to computer 600.

Logical connections shown in Fig. 8 are a local area network (LAN) 857 and a general wide area network (WAN) 859. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets, and the Internet.

When used in a LAN networking environment, the computer 600 is connected to LAN 857 via network interface or adapter 866. When used in a WAN networking environment, the computer typically includes a modem 858 or other means for establishing communications over the WAN 859. The modem, which may be internal or external, may be connected to the system bus 814 via the user input interface 850 or other appropriate mechanism.

Depicted in Fig. 8, is a specific implementation of a WAN via the Internet. Computer 600 typically includes a modem 858 or other means for establishing communications over the Internet 860. Modem, which may be internal or external, is connected to bus 814 via interface 850.

In a networked environment, program modules depicted relative to the personal computer 600, or portions thereof, may be stored in a remote memory storage device. By way of example, and not limitation, Fig. 8 illustrates remote application programs 869 as residing on a memory device of remote computer 862. It will be appreciated that the network connections shown and described are exemplary and other means of establishing a communications link between the computers may be used.

### **Computer-Executable Instructions**

An implementation of an exemplary application security threat-modeling computer 600 of Figs. 6 and 8 may be described in the general context of computer-executable instructions, such as program modules, executed by one or more computers or other devices. Generally, program



1 modules include routines, programs, objects, components, data structures, etc.  
2 that perform particular tasks or implement particular abstract data types.  
3 Typically, the functionality of the program modules may be combined or  
4 distributed as desired in various implementations.

### 5 6 **Computer Readable Media**

7 An implementation of an exemplary application security threat-  
8 modeling computer 600 of Figs. 6 and 8 may be stored on or transmitted across  
9 some form of computer readable media. Computer readable media can be any  
10 available media that can be accessed by a computer. By way of example, and  
11 not limitation, computer readable media may comprise “computer storage  
12 media” and “communications media.”

13 “Computer storage media” include volatile and non-volatile, removable  
14 and non-removable media implemented in any method or technology for  
15 storage of information such as computer readable instructions, data structures,  
16 program modules, or other data. Computer storage media includes, but is not  
17 limited to, RAM, ROM, EEPROM, flash memory or other memory technology,  
18 CD-ROM, digital versatile disks (DVD) or other optical storage, magnetic  
19 cassettes, magnetic tape, magnetic disk storage or other magnetic storage  
20 devices, or any other medium which can be used to store the desired  
21 information and which can be accessed by a computer.

22 “Communication media” typically embodies computer readable  
23 instructions, data structures, program modules, or other data in a modulated  
24 data signal, such as carrier wave or other transport mechanism. Communication  
25 media also includes any information delivery media.

The term “modulated data signal” means a signal that has one or more of  
its characteristics set or changed in such a manner as to encode information in

1 the signal. By way of example, and not limitation, communication media  
2 includes wired media such as a wired network or direct-wired connection, and  
3 wireless media such as acoustic, RF, infrared, and other wireless media.  
4 Combinations of any of the above are also included within the scope of  
5 computer readable media.

## 6 Conclusion

8 Although the description above uses language that is specific to  
9 structural features and/or methodological acts, it is to be understood that the  
10 invention defined in the appended claims is not limited to the specific features  
11 or acts described. Rather, the specific features and acts are disclosed as  
12 exemplary forms of implementing the invention.